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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/809,584
		Filing Date	March 15, 2001
		First Named Inventor	Mark ROSE
		Group Art Unit	2164
		Examiner Name	Not Known
Total Number of Pages in This Submission		Attorney Docket Number	1991-00200

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ENCLOSURES (check all that apply)

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	DAN J. KRUEGER
Signature	
Date	MARCH 21, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: March 21, 2003.

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98049.01/1991-00200

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Mark ROSE §
SERIAL NO.: 09/809,584 § GROUP ART UNIT:
FILED: March 15, 2001 § 2164
FOR: Online Trading System Having § EXAMINER:
Ally-Initiated Trading § Not Known Yet

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INFORMATION DISCLOSURE STATEMENT

03/31/2003 MDMATE1 00000127 032769 09809584
01 FC:1806 180.00 CH

Atty. Dkt. No.: 1991-00200
Date: March 21, 2003

Commissioner for Patents
Washington, D. C. 20231

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. A copy of each publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action on the merits has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose,

P.C., in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,




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Form PTO-1449 (Modified)							Atty. Docket No. 1991-00200	Serial No. 09/809,584
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)							Applicant Mark ROSE	
							Filing Date March 1, 2001	Group 2164
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILED DATE IF APPROPRIATE	
	AA	5,873,071	02/16/1999	FERSTENBERG et al.	705	37	05/15/1999	
	AB	6,408,282 B1	06/18/2002	BUIST	705	37	04/15/1999	
	AC	US 2002/009161611A1	07/11/02	MINTON	705	37	07/29/1999	
	AD	US 2002/0091615 A1	07/11/2002	SALVANI	705	37	01/09/2001	
	AE	US 2001/0003179 A1	06/07/2001	MARTYN et al.	705	37	01/23/2001	
	af	Us 2001/0044771 a1	11/22/2001	USHER et al.	705	37	05/16/2001	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	Translation YES NO	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				
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